FILE NO.: A34661 PCT USA - 071308.0244

PATENT

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant

Peter Soop et al.

Serial No.

09/937,913

Examiner:

Not Yet Assigned

Filed

October 1, 2001

Group Art Unit:

2121

For

GUIDE SYSTEM FOR A ROLLING MILL, ESPECIALLY FOR A

MILL TRAIN

INFORMATION DISCLOSURE STATEMENT

I hereby certify that this paper is being deposited with the United States Postal Service as first class mail in an envelope addressed to: Commissioner for Patents, Box 1450, Alexandria, VA 22313-1450

June 24, 2004

Date of Deposit

27,551

PTO Registration No.

June 24, 2004

Date of Signature

Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

Sir:

Pursuant to the provisions of 37 C.F.R. §§ 1.97 and 1.98, submitted herewith are a PTO Form 1449 identifying documents disclosing prior art that may be material to the patentability of the invention claimed in the above-identified application and copies of the documents identified in the Form 1449. These documents were cited during an opposition

1

NY02:489689.1

FILE NO.: A34661 PCT USA - 071308.0244

PATENT

procedure in the European Patent Office. An English translation of the articles is not readily available.

This statement is submitted more than 3 months from the application filing date but before the mailing date of the first Office Action. In accordance with 37 C.F.R. § 1.98, submission of this Information Disclosure Statement requires no fee. However, the Commissioner is hereby authorized to charge the payment of any fees required in association with this communication to Deposit Account No. 02-4377.

Dated: June 24, 2004

Bradley B. Geist Patent Office Reg. No. 27,551

spectfully

BAKER BOTTS L.L.P. 30 Rockefeller Plaza New York, NY 10112-4498 (212) 408-2566

Attorney for Applicants

Enclosures

	~
BAKER BOTTS LLP	NUL
Please type a plus sign (+) inside this box →	Jun

CORADEM Complete if Known Substitute for form 1449A/PTO 09/937,913 **Application Number** INFORMATION DISCLOSURE Filing Date October 1, 2001 STATEMENT BY APPLICANT First Named Inventor Peter Soop Group Art Unit 2121 (use as many sheets as necessary) **Examiner Name** not yet assigned Sheet of Attorney Docket Number A34661 071308.0244

	U.S. PATENT DOCUMENTS				
Examiner Cite U.S. Patent Document Initials		Cite Name of Patentee or Applicant No. 1 Number Kind Code ² of Cited Document		Date of Publication of Cited Document MM-DD-YYYY	
\longrightarrow				Note that the second of the se	
					
				71 To 12 1. 1. 1. 1. 1. 1. 1. 1. 1. 1. 1. 1.	
]					

	FOREIGN PATENT DOCUMENTS						
Examiner Cite	Cite	Foreign Patent Document		cument	Name of Patentee or	Date of Publication of	
Initials*	No.1	Office ³	Number ⁴	Kind Code ⁵ (if known)	Applicant of Cited Document	Cited Document MM-DD-YYYY T6	
		<u> </u>					
		 					
		 					
ļ			····				

Examiner	Date	
Signature	Considered	

^{*}EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

¹ Unique citation designation number. ² See attached Kinds of U.S. Patent Documents. ³ Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). ⁴ For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. ⁵ Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST. 16 if possible. ⁶ Applicant is to place a check mark here if English language Translation is attached.

BAKER BOTTS LLP

Sheet

Please type a plus sign (+) inside this box ->

6	PE	20	8
JUK	28	2004	PEICE
CH.		.0	7

Substitute for form 1449B/PTO

INFORMATION DISCLOSURE STATEMENT BY APPLICANT

(use	as many	/ sheets	as	necessary,
		1.4		

ARE CO	Complete if Known		
Application Number	09/937,913		
Filing Date	October 1, 2001		
First Named Inventor	Peter Soop		
Group Art Unit	2121		
Examiner Name	not yet assigned		
Attorney Docket Number	A34661 071308.0244		

OTHER PRIOR ART - NON PATENT LITERATURE DOCUMENTS					
Examiner Initials*	Cite No.1	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.	T²		
		"Logidyn D. Modulares Regel-, Steuer- und Rechensystem hoher Leistung" by Dr. Burkhard Christmann, AEG CEGELEC AEG ANLAGEN UND ANTRIEBSSYTEME GmbH, pp. 3-27			
		"Modernisierung der sechsgerustigen Kaltwalz- Tandemstgrasse bei Rasselstein bei einem Stillstand von nur 17 Tagen" by Manbfred Warneck, VERLOG SHAHLEISEN GmbH, (1999) pp. 1-6			
		"Modernization of Rasselstein's 6-stand Tandem Mill with a Downtime of Just 17 Dyas" by Manfred Warnecke; VERLOG STAHLEISEN GmbH, Vol. 2, No. 6, 1998 pp. 1-4			
		,			
·					
			• -		

Examiner	Date	
Signature	Considered	

^{*}EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

¹ Unique citation designation number. ² Applicant is to place a check mark here if English language Translation is attached.